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POU996048US3

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Badovinatz et al.

Serial No.: 09/778,707

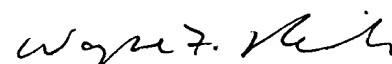
Group Art Unit: 2151

Filed: 02/07/2001

Examiner: Unknown

Title: PROGRAM PRODUCT FOR AN APPLICATION PROGRAMMING  
INTERFACE UNIFYING MULTIPLE MECHANISMSCERTIFICATE OF MAILING

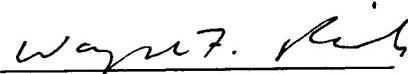
I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231, on May 07, 2001.

  
Wayne F. Reinke  
Attorney for Applicants  
Registration No.: 36,650Date of Signature: May 07, 2001.To: Assistant Commissioner for Patents  
Washington, D.C. 20231**RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS**

Dear Sir:

In response to a Notice to File Corrected Application Paper dated March 13, 2001, response to which is due by May 13, 2001 without extension, Applicants enclose herewith a letter to the Official Draftsperson with thirteen (13) sheets of formal drawings, along with a copy of the Notice.

As such, Applicants submit that the Application papers are now fully in compliance with the filing requirements, and respectfully request passage to examination.

  
Wayne F. Reinke  
Attorney for Applicants  
Registration No.: 36,650Dated: May 07, 2001.

HESLIN & ROTHENBERG, P.C.  
5 Columbia Circle  
Albany, New York 12203-5160  
Telephone: (518) 452-5600  
Facsimile: (518) 452-5579



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Badovinatz et al.

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Title: PROGRAM PRODUCT FOR AN APPLICATION PROGRAMMING  
INTERFACE UNIFYING MULTIPLE MECHANISMS

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: **Attention: Official Draftperson**, Assistant Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231, on May 07, 2001.

Wayne F. Reinke

Wayne F. Reinke  
Attorney for Applicants  
Registration No.: 36,650

Date of Signature: May 07, 2001.

To: Box ISSUE FEE  
**Attention: Official Draftperson**  
Assistant Commissioner for Patents  
U.S. Patent and Trademark Office  
Washington, D.C. 20231

Dear Sir:

Enclosed please find thirteen (13) sheets of formal drawings with reference to the above-entitled patent application.

Wayne F. Reinke

Wayne F. Reinke  
Attorney for Applicants  
Registration No.: 36,650

Dated: May 07, 2001.

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5 Columbia Circle  
Albany, New York 12203-5160  
Telephone: (518) 452-5600  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/778,707	02/07/2001	Peter R. Badovinatz	POU996048US3

CONFIRMATION NO. 5326

23405  
HESLIN & ROTHEBERG, PC  
5 COLUMBIA CIRCLE  
ALBANY, NY 12203

## FORMALITIES LETTER



\*OC000000005854890\*

Date Mailed: 03/13/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**FILE COPY****UNITED STATES PATENT AND TRADEMARK OFFICE**

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)



Bib Data Sheet

**CONFIRMATION NO. 5326**

<b>SERIAL NUMBER</b> 09/778,707	<b>FILING DATE</b> 02/07/2001 <b>RULE</b>	<b>CLASS</b> 709	<b>GROUP ART UNIT</b> 2151	<b>ATTORNEY DOCKET NO.</b> POU996048US3
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**APPLICANTS**

Peter R. Badovinatz, Kingston, NY;  
 Marion L. Blount, Mahopac, NY;  
 Larry B. Brenner, Austin, TX;  
 Tushar D. Chandra, Elmsford, NY;  
 Ajei S. Gopal, Fort Lee, NJ;  
 Dennis D. Jurgensen, Hurley, NY;  
 Marc A. Kaplan, Katonah, NY;  
 Orvalle T. Kirby, Pleasant Valley, NY;  
 John A. Pershing JR., Buchanan, NY;  
 John J. Turek, South Nyack, NY;

**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLICATION IS A CON OF 08/959,967 10/24/1997 PAT 6,216,150  
 WHICH IS A CON OF 08/641,454 04/30/1996 ABN

**\*\* FOREIGN APPLICATIONS \*\*\*\*\*****IF REQUIRED, FOREIGN FILING LICENSE GRANTED****\*\* 03/12/2001**

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY	SHEETS DRAWING	TOTAL CLAIMS	INDEPENDENT CLAIMS
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	NY	13	6	3
Verified and Acknowledged	Examiner's Signature _____ Initials _____				

**ADDRESS**

23405

**TITLE**

Program product for an application programming interface unifying multiple mechanisms

<b>FILING FEE RECEIVED</b> 710	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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*Receipt*

POU996048US3



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Badovinatz et al.

Serial No.: 09/778,707

Group Art Unit: 2151

Filed: 02/07/2001

Examiner: Unknown

Title: PROGRAM PRODUCT FOR AN APPLICATION PROGRAMMING  
INTERFACE UNIFYING MULTIPLE MECHANISMS

*RECEIVED*  
SEP 07 2001  
Technology Center 2100

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231, on May 07, 2001.

Wayne F. Reinke

Wayne F. Reinke  
Attorney for Applicants  
Registration No.: 36,650

Date of Signature: May 07, 2001.

To: Customer Service Center  
Office of Initial Patent Examination  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**COMMUNICATION REQUESTING CORRECTION  
OF OFFICIAL FILING RECEIPT**

Sir:

Upon a review of the Official Filing Receipt received for the above-identified application, Applicants noted the following error:

1. "Applicant(s)" Replace "John A. Pershing, Buchanan, NY" with --John A. Pershing, Jr., Buchanan, NY--

Applicants respectfully request a corrected Filing Receipt indicating the above correction.

A copy of the Filing Receipt is also attached and indicates the correction requested. Applicants respectfully request that a corrected Official Filing Receipt indicating the above-referenced correction be issued.

Wayne F. Reinke

Wayne F. Reinke  
Attorney for Applicants  
Registration No.: 36,650

Dated: May 07, 2001.

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5 Columbia Circle  
Albany, New York 12203-5160  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/778,707	02/07/2001	2151	710	POU996048US3	7	6	3

WR  
23405  
HESLIN & ROTHENBERG, PC  
5 COLUMBIA CIRCLE  
ALBANY, NY 12203

0540.066C

CONFIRMATION NO. 5326  
FILING RECEIPT

OC000000005854889

Date Mailed: 03/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Peter R. Badovinatz, Kingston, NY;  
 Marion L. Blount, Mahopac, NY;  
 Larry B. Brenner, Austin, TX;  
 Tushar D. Chandra, Elmsford, NY;  
 Ajei S. Gopal, Fort Lee, NJ;  
 Dennis D. Jurgensen, Hurley, NY;  
 Marc A. Kaplan, Katonah, NY;  
 Orvalle T. Kirby, Pleasant Valley, NY;  
 John A. Pershing, Buchanan, NY; John A. Pershing, Jr., Buchanan, NY;  
 John J. Turek, South Nyack, NY;

RECEIVED  
SEP 07 2001  
Technology Center 2100

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 08/959,967 10/24/1997  
 WHICH IS A CON OF 08/641,454 04/30/1996 ABN

## Foreign Applications

If Required, Foreign Filing License Granted 03/12/2001

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

**Title**

Program product for an application programming interface unifying multiple mechanisms

**Preliminary Class**

709

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Data entry by : GENET, YODIT

Team : OIPE

Date: 03/13/2001



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231